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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Burgess R. Johnson et al.

Serial No.: 09/218,816

Examiner: Unknown

Filed: December 22, 1998

Group Art Unit: Unknown

For: EFFICIENT SOLID-STATE LIGHT EMITTING DEVICE WITH EXCITED
PHOSPHORS FOR PRODUCING A VISIBLE LIGHT OUTPUT

Docket No.: 1004.1118101

TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

MAR 22 1999

TECHNOLOGY CENTER 2800

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 16th day of March, 1999.

By _____

Brian N. Tuffe

We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPEN- DENT CLAIMS	-	=		X39=	\$	X78=	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+130=	\$	+260=	\$
TOTAL				\$		\$	

#2121
T. Young
5-18-99

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Burgess R. Johnson et al.

Serial No.: 09/218,816

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File: 1004.1118101



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INFORMATION DISCLOSURE STATEMENT

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By Brian N. Tufte

Dear Sirs:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

RECEIVED

Respectfully submitted,

MAR 22 1999

Burgess R. Johnson et al.

TECHNOLOGY CENTER 2800

By their attorney,

Dated: March 16, 1999

Brian N. Tufte
Brian N. Tufte, Reg. No. 38,638
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331 Second Avenue South, Suite 895
Minneapolis, MN 55401-2246
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

- [] A check in the amount of \$_____ is enclosed.
- [] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [X] Other: INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449, AND CITED REFERENCES.
- [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: _____

Brian N. Tufte

Reg. No. 38,638

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